

Faculty cont...

Patti Blenden, CPA, CRCM
Patti founded Financial Solutions in 1990 to provide community financial institutions with effective solutions for the ever increasing need for cost-effective regulatory risk management. The company provides compliance reviews, in-house training, compliance policy and procedure development for community bank clients. Patti is a frequent presenter for the American Bankers Association where she serves as a faculty member of its prestigious Stonier Graduate School of Banking and National, Intermediate and Graduate Compliance Schools. She has a Bachelor of Science degree in Accounting from Louisiana Tech University and 35+ years of banking experience.

David A. Dickinson, CRCM
David's banking career began as an FDIC field examiner in 1990. He later became a Loan Officer for a \$110 million bank. In 1993, he established Banker's Compliance Consulting. Along with his talented team, he has written numerous compliance articles for prestigious banking publications and has developed compliance seminars that Banker's Compliance Consulting produces. David is an expert in compliance regulations. He is also a motivational speaker and innovative educator. David is on faculty at the Center for Financial Training, the American Bankers Association National Compliance Schools and is a frequent speaker at the ABA's Regulatory Compliance Conference. He is also a trainer for hundreds of webinars, is a Certified Regulatory Compliance Manager (CRCM) and has been a BankersOnline Guru for many years. The American Bankers Association honored David with their Distinguished Service Award in 2016.

Louvera Walden, CRCM
Louvera brings the clients of FIS 35 years of experience in commercial banking, 31 in regulatory compliance. Before joining FIS, Louvera served as Corporate Compliance Manager for a bank holding company where she developed compliance programs, performed risk assessments and regulatory mapping and wrote policies and procedures. Louvera earned her BA in Legal Administration and a Masters of Public Administration from the University of West Florida.

Thomas Williams, CRCM, CCBIA
Thomas is a senior vice president and senior compliance manager for United Bank in Zebulon. He has been in banking for 20 years and spent the past 12 years working solely in risk management and regulatory compliance. Before joining the compliance side of banking, he worked in auditing, bank security, branch management, consumer lending and credit administration. Thomas is a graduate of Clemson University, where he earned a BS degree in Financial Management. He also holds the professional designations of Certified Regulatory Compliance Manager, Certified Community Bank Internal Auditor and At-Risk Adult Crime Tactics (ACT) Specialist.

Curriculum

- **Compliance Foundations** (compliance culture, risk assessment)
- **Compliance: From Back Room to Board Room** (controls, monitoring, training, and reporting)
- **Compliance Program Management** (change management, third-party vendor management, complaint management, exam management)
- **Managing Compliance A-Z** (managing lending compliance, to include origination and servicing; managing deposit and payment compliance; managing BSA / AML)
- **Fair and Responsible Banking** (fair lending, managing CRA, managing UDAAP)
- Managing mergers & acquisitions compliance
- Case study prep, discussion, and presentations

Credit Toward CRCM Certification

The Institute of Certified Bankers of the American Bankers Association offers a Certified Regulatory Compliance Manager (CRCM) designation. As a CRCM, you identify yourself as an accomplished professional who has met the highest standard for knowledge, experience and performance in the compliance field. GBA will apply for credits to be applied toward the CRCM designation.

GBA Contacts

Kathy Friestad
Professional Development Manager
404.420.2024
kfriestad@gabankers.com

Alison Moreau
Professional Development Coordinator
404.420.2034
amoreau@gabankers.com



GEORGIA BANKERS ASSOCIATION
50 Hurt Plaza, Suite 1050 | Atlanta, Georgia 30303 | 404.522.1501

GEORGIA BANKERS ASSOCIATION

GBA | U

the resource that empowers

2017

COMPLIANCE SCHOOL

May 7-12, 2017

The UGA Hotel and Conference Center

Athens

www.gabankers.com

ADVOCACY / COMMUNITY / EDUCATION / SERVICES



Message from the Compliance School Board Chair

Dear Compliance Colleagues,

On behalf of the GBA Compliance School Board of Directors, I am pleased to announce that the 2017 Georgia Bankers Association Advanced Compliance School will be May 7-12, 2017, at The UGA Hotel and Conference Center in Athens, GA. The past two years have been a tremendous success and we look forward to carrying that tradition over in 2017. GBA is proud to partner with the South Carolina Bankers Association for the 2017 session, and we look forward to welcoming South Carolina compliance specialists to Georgia in May!

Compliance professionals continue to operate in a rapidly changing, pressure-filled environment. Gone are the days where a Compliance Officer is appointed and only checked on when examiners are coming. Today’s compliance leaders must build and foster a strong culture of compliance within their financial institution that stretches across all business lines. Unlike the 2015 and 2016 curricula, the 2017 content will be on a more advanced level. While the last two years have given students a solid foundation on which to build their compliance programs, the 2017 school will focus more deeply on compliance hot buttons and assist students with maintaining a strong compliance program for many years to come.

Taught by regionally and nationally recognized faculty, the curriculum has been carefully developed to provide a dynamic training opportunity for compliance professionals with two or more years of compliance experience. Our goal is for students to grow in their compliance skill set as well as take the compliance program at their bank to new levels. I encourage executive management to send your compliance personnel to this program. The education provided will not only benefit your employees, but also will be an investment that will pay dividends to your organization for years to come.

We look forward to seeing you or your bankers at the 2017 GBA Compliance School!

Sincerely,

Thomas Williams, Chair, GBA Compliance School Board of Directors
SVP, Senior Compliance Officer
United Bank, Griffin



GBA Compliance School Purpose and Philosophy

The GBA Advanced Compliance School is designed to help students: establish and complete an effective compliance risk assessment; set up and administer an effective compliance training program; perform a detailed analysis of fair lending data; dive deeper into current trends in BSA/AML; study a detailed analysis of HMDA and see how it drives other areas of regulatory focus; learn about comprehensive complaint management, including effective root cause analysis; and other topics to take your bank’s compliance program to the next level.

2017 School Dates

The 2017 school session is Sunday, May 7, through Friday, May 12, 2017. Classes are held at the UGA Hotel and Conference Center at the Georgia Center, where students are also housed, on the beautiful campus of the University of Georgia in Athens.

2017 Compliance School Board of Directors

Thomas Williams
Director, GBA Compliance School
SVP / Senior Compliance Officer
United Bank, Griffin

Dorothea Cunningham
VP/Mortgage Compliance Officer
Georgia Bank & Trust, Augusta

Louvera Walden
Senior Compliance Manager
ERGC Solutions
FIS Global, Atlanta

Jim Bedsole
SVP / Chief Compliance Officer
BankSouth, Greensboro

Janna Elrod
VP/Compliance/BSA/CRA/Internal Audit
First Landmark Bank
Marietta

Admission Requirements

For admission, a student must meet the following requirements:

- Be employed as an officer or key staff member of a bank or be an associate member (vendor) of a bank;
- Have at least two years of compliance experience or prior graduation from GBA Compliance School;
- Be recommended by the bank’s CEO or senior management.

If applicant does not meet a requirement but the nominating officer feels the candidate is qualified, a letter from the CEO may be sent with the application explaining the circumstances for review by the school Board of Directors.

Application Process

Applicants may enroll online at gabankers.com. Tuition payment may be paid by check or by bank invoice. **Applications must be submitted by March 24 to guarantee a hotel room. Applications submitted between March 25 and the application deadline of April 14 are welcome, but we cannot guarantee a hotel room.** Tuition must be paid in full by April 14, 2017

Tuition Inclusions and Housing

Tuition for GBA members is \$1,975. **Applicants may register and pay by Feb. 15, 2017, and receive the early-bird price of \$1,845.** Tuition includes: All instruction; supplemental materials; double occupancy accommodations for Sunday-Thursday nights at the UGA Hotel; daily breakfast and lunch; Welcome BBQ on Sunday, May 7; and daily breaks. Students may request a private room for a \$385 surcharge. All students, including local students, are required to stay on campus. All hotel rooms include: TV; wireless internet; microwave; fridge; safe; coffee maker; hairdryer; full-size iron and ironing board; and overnight parking in the conference center parking deck with in-and-out privileges. Students changing room types onsite will be responsible for any increase in room charges resulting from this change.

Tuition Cancellation and Refund Policy

Cancellation Notice Received:	Refund Allowed:
March 24, 2017, and earlier	100%
March 25 - April 7, 2017	50%
April 8 – April 26, 2017	25%
April 27 - No-Show on May 7, 2017	0%

Graduation Requirements

Completion of the GBA Compliance School is based on satisfactory attendance and performance in all classes and lectures and participation in the case study discussions and presentations. Graduation exercises will be held on Friday, May 12, 2017, at Noon.

Faculty

Andy Barksdale

Andy Barksdale is a Managing Director at TRUPOINT Partners. Since starting his banking career more than 20 years ago, Andy has consulted with more than 100 financial institutions by analyzing their lending data; identifying the areas of risk; advising on the focal points for ongoing fair lending analysis; conducting CRA self-assessments and providing assessment-area reviews; implementing risk assessments and best-practice reviews for fair lending; delivering training and providing confidence in preparing for upcoming examinations.

Jim Bedsole, CRCM, CBA, CFSA, CAFP

Jim Bedsole is SVP and Chief Compliance & Risk Officer for BankSouth, Greensboro, GA. He has over 28 years of experience in managing bank risk, regulatory compliance, auditing, security, and corporate governance with both regional and community banks. Jim is a 1986 graduate of The Citadel, Charleston, SC. He is also a graduate of the ABA National Graduate School of Compliance Management. Jim is a Certified Regulatory Compliance Manager, Certified Bank Auditor, Certified Financial Services Auditor and Certified AML and Fraud Professional.

More faculty bios...



2017 GBA Advanced Compliance School
May 7 - 12, 2017 • UGA Hotel and Conference Center at the Georgia Center • Athens

Application for Admission

Application Instructions

Applicants may enroll in the 2017 GBA Advanced Compliance School by completing this application and sending it with tuition check (made payable to the Georgia Bankers Association) to GBA, 50 Hurt Plaza, SE, Suite 1050, Atlanta, GA 30303. If you would like your bank to be invoiced, you may email your application to Angela Barber, Registrar, at abarber@gabankers.com. **Applications must be submitted by March 24, 2017 to guarantee a hotel room. Applications submitted between March 25 and the application deadline of April 14 are welcome but we cannot guarantee a hotel room.** Applicants may also enroll online at www.gabankers.com. Tuition must be paid in full by April 14. Contact Kathy Friestad at GBA with questions at kfriestad@gabankers.com or 404.420.2024.

Admission Requirements

For admission, a student must meet the following requirements:

- Be employed as an officer or key staff member of a bank operating in Georgia, or a GBA associate member;
- Be involved in bank compliance or have three years banking experience;
- Be recommended by the bank's CEO or senior management.

If applicant does not meet one of the above requirements, but the nominating officer feels the candidate is qualified, a letter from the CEO may be sent with the application explaining the circumstances for review by the school Board of Directors.

Tuition Inclusions and Schedule

School tuition is \$1,975. **Applicants may register and pay by Feb. 15, 2017 and receive the early bird tuition of \$1,845.** Tuition includes: All instruction; supplemental materials; double occupancy accommodations for Sunday-Thursday nights at the UGA Hotel; daily breakfast and lunch; Welcome Barbecue Dinner on Sunday, May 7; and daily refreshment breaks. Overnight parking in the UGA Conference Center Hotel deck is included with your hotel room. Students may request a private (single) room for a \$385 flat surcharge. Students changing room types onsite will be responsible for any increase in room charges resulting from this change.

Applicant Information and Compliance Experience

Association Status: _____ GBA Member/Associate Member _____ Non-Member Institution* _____ Other (pls specify)

_____ Check here if you are a GBA Compliance School graduate (2015 or 2016).

**Applicants from member banks of other state associations may attend the school at the member rate.*

Applicant's Name: _____ Nickname (for badge): _____

Current Position: _____

Bank: _____

Business Mailing Address: _____

City, State, Zip: _____

Business Phone: _____ Cell Phone: _____

Email: _____



Compliance Experience _____ 2-3 years _____ 3-5 years _____ More than 5 years

Please indicate below how you acquired knowledge and understanding of compliance:

Housing Information

Please indicate housing preference and requests below. **The UGA Conference Hotel is a non-smoking hotel.**

I would like to share a room with: _____

_____ Assign a roommate to me (double beds). **OR** _____ Reserve a single room for me for an additional \$385.

Check all dietary restrictions or allergies. Kosher meals are not available.

_____ Vegetarian _____ Nuts _____ Dairy _____ Pork _____ Shellfish _____ Gluten/Wheat

Special hotel requests: _____

In compliance with the Americans with Disabilities Act of 1990, the GBA will make all reasonable efforts to accommodate persons with disabilities at its programs. Contact Kathy Friestad at kfriestad@gabankers.com or 404.420.2024, with your request.

Nominating Officer Information (required for admission)

Nominating Officer Name: _____ Title: _____

Business Phone: _____ Email: _____

Tuition Payment Information

Circle tuition amount and single room surcharge (if applicable) and total amount due. Please note payment method. Submit completed application via mail if paying by check to GBA, 50 Hurt Plaza SE, Suite 1050, Atlanta, GA 30303. If requesting your bank to be invoiced, you may email your application to GBA at abarber@gabankers.com. **The application deadline is April 14, 2017.** Tuition must be paid in full by April 14.

Registration Category	Tuition (thru Feb. 15, 2017)	Tuition (Feb. 16 – April 14, 2017)
Student	\$1,845	\$1,975
Single Room Surcharge	\$ 385	\$ 385
Total Amount Due:		

Payment Method: _____ Check enclosed (payable to Georgia Bankers Association) _____ Please send invoice

I understand that, to qualify for graduation, I will be expected to attend all classes, prepare all assigned work and participate in classroom sessions. I hereby authorize the release of my grade to the GBA and my bank's CEO and/or nominating officer. This information is to be treated as part of my confidential personnel file.

Applicant's Signature: _____ Date: _____

Nominating Officer Signature: _____ Date: _____